

**EXPEDITED PROCEDURE**

PATENT

Appl. No. 10/541,051  
Response to Final Action of April 13, 2010

Docket No. NL021482US1  
Customer No. 24737

**REMARKS**

Applicant submits that this Amendment After Final Rejection places this application in condition for allowance by amending claims in manners that are believed to render all pending claims allowable over the cited art and/or at least place this application in better form for appeal. This Amendment is necessary to clarify certain claim limitations, in addition to distinguish over newly cited art, and furthermore was not earlier presented because Applicant believed that the prior response(s) placed this application in condition for allowance, for at least the reasons discussed in those responses. Accordingly, entry of the present Amendment, as an earnest attempt to advance prosecution and/or to reduce the number of issues, is requested under 37 C.F.R. §1.116.

In the event that the Office declines to enter the present Amendment, and (i) any portion of the present Amendment would place some of the claims in better form for appeal if a separate paper were filed containing only such amendments or (ii) any proposed amendment to any claim would render that claim allowable, Applicant respectfully requests that the Office inform Applicant of the same pursuant to MPEP §714.13.

By this amendment, claims 1, 6, 8-12 and 14-16 have been amended. The amendments to claims 6, 8, 9, 11 and 12 have been made primarily for clarification purposes. Claims 1-6 and 8-16 remain in the application. This application has been carefully considered in connection with the Examiner's Action. Reconsideration, withdrawal of the final action, and allowance of the application, as amended, is requested.

EXPEDITED PROCEDURE

PATENT

Appl. No. 10/541,051  
Response to Final Action of April 13, 2010

Docket No. NL021482US1  
Customer No. 24737

**Rejection under 35 U.S.C. §103**

Claim 1 now more clearly articulates that said storage related information proactively signals (i.e., *categorizes*) each said application-module alternatively as (i) *mandatory* for recording, (ii) *optional* for recording or (iii) *forbidden* for recording at the receiver. The *mandatory application-modules* contain files that are *critical* for running the corresponding *interactive application* from storage. The *optional application-modules* contain files that (a) *offer* the corresponding interactive application *extra features* or (b) *contain configuration information* of the corresponding interactive application that *always* must be *downloaded* from a *live broadcast* (See, for example, the present specification at least on page 2, lines 23-29; page 3, line 6-7; page 4, lines 25-26, and page 10, lines 3-4). In addition, with respect to optional application-modules, it is noted that "not all the modules may contain files that are necessary to record, e.g., some modules may contain files, such as configuration files, that must always be loaded from the live broadcast stream in order to have the application up-to-date when the application is run." (See, for example, the present specification at least on page 2, lines 1-4). The method of claim 1 advantageously signals the storage related information in a broadcast stream, as specifically identified and claimed, wherein a recording system receiving the broadcast can use this storage related information to decide if application modules are to be recorded or discarded. Storage space on recordable media for interactive television is used more efficiently and recording of the modules is generally faster. (See, for example, the present specification on page 10, lines 1-14).

Claims 1, 4-6 and 9-16 were rejected under 35 U.S.C. §103(a) as being unpatentable over OPENTV, WO 01/33852 ("OPENTV") in view of Goodman et al., US 6,427,238 ("Goodman"). Applicant respectfully traverses this rejection for at least the following reasons. With respect to claim 1, Applicant respectfully traverses this rejection on the grounds that the **OPENTV** and **Goodman** references are defective in establishing a *prima facie* case of obviousness.

EXPEDITED PROCEDURE

PATENT

Appl. No. 10/541,051  
Response to Final Action of April 13, 2010

Docket No. NL021482US1  
Customer No. 24737

Independent claim 1, as now presented, more clearly recites, inter alia, "... wherein said storage related information categorizes each said application-module alternatively as (i) *mandatory* for recording, (ii) *optional* for recording or (iii) *forbidden* for recording at the receiver, wherein the *mandatory application-modules* contain files that are *critical* for *running* the corresponding *interactive application* from storage, and wherein the *optional application-modules* contain files that (a) *offer* the corresponding *interactive application extra features* or (b) *contain configuration information* of the corresponding *interactive application* that *always* must be *downloaded* from a *live broadcast*" (emphasis added). Support for claim 1 (as well as for claims 10, 14 and 16) can be found in the specification at least on page 2, lines 23-29; page 3, line 6-7; page 4, lines 25-26, and page 10, lines 3-4.

Applicant submits that neither OPENTV nor Goodman discloses at least the aforementioned feature of independent claim 1. In particular, it is submitted that the primary citation to **OPENTV** does not disclose the claimed "optional for recording" of an application-module. Neither does the secondary citation to **Goodman** remedy the conceded deficiency in the primary citation to **OPENTV**. Accordingly, without conceding the propriety of the asserted combination, the asserted combination of **OPENTV** and **Goodman** is likewise deficient, even in view of the knowledge of one of ordinary skill in the art.

The primary citation to **OPENTV** relates to a method for recording pushed interactive data streams of a program, wherein the pushed data stream is broadcast to a receiving station. The data stream includes one or more data objects and can include live data objects intended for immediate consumption. When the individual data objects are received by the receiving station, the individual data objects are extracted from the data stream. The data objects, accompanying object properties and a file table are then

**EXPEDITED PROCEDURE**

PATENT

Appl. No. 10/541,051

Response to Final Action of April 13, 2010

Docket No. NL021482US1

Customer No. 24737

stored on a storage device. Live data objects are not stored. (See OPENTV, abstract).

The Office Action contends that **OPENTV** discloses storage related information that comprises indicating whether each module is alternatively ... "optional for recording [programs can be stored at the option of the user, p.6, 38, p.8, 26-27; modules categorized as within a validity range are available, p. 8, 5-7]." (Office Action, page 3). However, it is respectfully submitted that OPENTV does not disclose the specific storage related information as now more clearly recited in claim 1 that *categorizes* each said application-module ... as (ii) *optional* for recording ... wherein the *optional application-modules* contain files that (a) *offer* the corresponding interactive application *extra features* or (b) *contain configuration information* of the corresponding interactive application that *always* must be *downloaded from a live broadcast*". It is further submitted that the teaching of **OPENTV** which states that "programs can be stored at the option of the user" and that "modules categorized as within a validity range are available" cannot reasonably be interpreted to disclose *categorizing an application-module* as "*optional for recording*" or more particularly, as discussed with respect to the above-indicated language of claim 1.

In addition, the Office Action concedes that **OPENTV** does not expressly describe the different categories specifically as recited [*in claim 1*] (clarification added) (Office Action, page 3). Furthermore, the Office Action contends that "**Goodman** teaches transmitting interactive application modules wherein mandatory modules contain files that are critical for running a corresponding application from storage [**col. 6, 7-18; col. 10, 30-34**], and wherein optional modules contain files that offer a corresponding application extra features [**col. 6, 36-42**]." (Office Action, page 3). (However, it is respectfully submitted that the teaching of Goodman (at col. 6, 36-42) does not disclose *optional application-modules* that contain files that offer a corresponding interactive application *extra features*.) Nonetheless, the Office Action

EXPEDITED PROCEDURE

PATENT

Appl. No. 10/541,051  
Response to Final Action of April 13, 2010

Docket No. NL021482US1  
Customer No. 24737

rejects independent claim 1, contending that the secondary citation to **Goodman** provides this necessary disclosure. (Office Action, page 3). As discussed above, claim 1 has been amended for clarification, including, clarification over the newly cited reference **Goodman**. In view thereof and as will be explained further herein below, this contention that **Goodman** provides necessary disclosure is respectfully traversed.

**Goodman** relates to a system and method implemented in an interactive television system for managing modules of interactive television applications. (see **Goodman** abstract). In addition, the **Goodman** reference further discloses "advantages of modularity may include conserving the limited amount of memory in a set-top box which can be used for interactive applications, reducing time required to download applications from a broadcast station to a set-top box and reducing the amount of application code which must be written by allowing modules to be shared." (see **Goodman** abstract). Furthermore, **Goodman** discloses in "one embodiment, an application includes at least one module which is downloaded and executed automatically. Other modules containing data or additional code may not be needed immediately, so they may be downloaded after execution of the application begins. The downloading of these modules [i.e., the other modules containing data or additional code] may be subject to timing constraints, however, so the interactive television system is configured to take these constraints into account and deliver the [other] modules in a timely manner." (*emphasis added*) (see **Goodman**, col. 6, 36-42). As disclosed in **Goodman**, the "other modules" contain data or additional code, however, there is no disclosure in **Goodman** that the "additional code" refers to "additional features" of the application. In addition, it is submitted that the "other modules" of **Goodman** are not optional, but are simply delayed, that is, being downloaded after execution of the application begins and thus not optional. Accordingly, in view of **Goodman**'s use of other modules containing data or additional code as discussed above and in **Goodman**, it is unknown how the **Goodman**'s usage of such other modules containing data or

EXPEDITED PROCEDURE

PATENT

Appl. No. 10/541,051  
Response to Final Action of April 13, 2010

Docket No. NL021482US1  
Customer No. 24737

additional code could be understood to read on storage related information that "... categorizes each said application-module ... as (ii) *optional* for recording ... wherein the *optional application-modules* contain files that (a) *offer* the corresponding interactive application *extra features* or (b) *contain configuration information* of the corresponding interactive application that *always* must be *downloaded* from a *live broadcast*" as is recited in claim 1. Thus, **Goodman** does not provide a disclosure that teaches the aforementioned feature of independent claim 1, nor does **Goodman** remedy the aforementioned, conceded deficiency in the primary citation to **OPENTV**.

Accordingly, favorable reconsideration and withdrawal of the rejection of independent claim 1 under 35 U.S.C. §103 are respectfully requested. Claims 4-6 and 9 depend from and further limit independent claim 1 and therefore are allowable as well. The 35 U.S.C. §103(a) rejection thereof has now been overcome.

Claims 10, 14 and 16 have each been amended in a manner similar to the amendments to claim 1. Accordingly, for similar reasons as stated with respect to overcoming the rejection of claim 1, claims 10, 14 and 16 are believed allowable and an early formal notice thereof is requested. Claims 11-13 depend from and further limit independent claim 10 and therefore are allowable as well. Claim 15 depends from and further limits independent claim 14 and therefore is allowable as well. The 35 U.S.C. § 103(a) rejection thereof has now been overcome. Withdrawal of the rejection is respectfully requested.

**EXPEDITED PROCEDURE**

PATENT

Appl. No. 10/541,051  
Response to Final Action of April 13, 2010

Docket No. NL021482US1  
Customer No. 24737

Claim 2, 3 and 8 were rejected under 35 U.S.C. §103(a) as being unpatentable over **OPENTV** and **Goodman** in view of Metz et al., U.S. Patent 5,768,539 ("Metz"). Applicant respectfully traverses this rejection for at least the following reason. Claims 2, 3 and 8 depend from and further limit allowable independent claim 1 and therefore are allowable as well. (Note that as presented herein, claim 8 has been amended to depend from claim 1 via claim 9.) The 35 U.S.C. §103(a) rejection thereof has now been overcome.

**Conclusion**

Except as indicated herein, the claims were not amended in order to address issues of patentability and Applicants respectfully reserve all rights they may have under the Doctrine of Equivalents. Applicants furthermore reserve their right to reintroduce subject matter deleted herein at a later time during the prosecution of this application or a continuation application. In addition, the Office Action contains a number of statements characterizing the claims, the Specification, and the prior art. Regardless of whether such statements are addressed by Applicant, Applicant refuses to subscribe to any of these statements, unless expressly indicated by Applicant.

It is clear from all of the foregoing that independent claims 1, 10, 14 and 16 are in condition for allowance. Claims 2-6 and 8-9 depend from and further limit claim 1 and therefore are allowable as well. Claims 11-13 depend from and further limit claim 10 and therefore are allowable as well. Claim 15 depends from, further limits claim 14, and is therefore allowable as well.

**EXPEDITED PROCEDURE**

PATENT

Appl. No. 10/541,051  
Response to Final Action of April 13, 2010

Docket No. NL021482US1  
Customer No. 24737

The matters identified in the Office Action of April 13, 2010 are now believed resolved. Accordingly, the application is believed to be in proper condition for allowance. The amendments herein are fully supported by the original specification and drawings; therefore, no new matter is introduced. An early formal notice of allowance of claims 1-6 and 8-16 is requested.

Respectfully submitted,

By: /Michael J. Balconi-Lamica/

Michael J. Balconi-Lamica  
Registration No. 34,291  
for Edward Goodman, Reg. No. 28,613

Dated: June 8, 2010  
Philips Intellectual Property & Standards  
345 Scarborough Road  
Briarcliff Manor, New York 10510  
Telephone: 914-333-9611  
Facsimile: 914-332-0615  
File: NL021482US1

a-32658.373